

STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT

IN THE MATTER OF: _____)
_____)

Martinez-Ayme Securities (CRD No. 109838)) FILE NO. 1500230
_____)
_____)

ORDER OF CANCELLATION

TO THE RESPONDENT: Martinez-Ayme Securities
9495 Sunset Dr, Ste B275
Miami, FL 33173

This matter having come on for consideration before Jesse White, Secretary of State for the State of Illinois, through his designated representative, and having been fully advised in the premises by the staff of the Illinois Securities Department, Office of the Secretary of State, (the "Department"), the Secretary of State herein finds:

1. Martinez-Ayme Securities (the "Respondent") is currently registered as a dealer in the State of Illinois pursuant to Section 8 of the Illinois Securities Law of 1953, [815 ILCS 5/1 et seq.] (the "Act").
2. The Financial Industry Regulatory Authority (FINRA) is a private, non-governmental organization that is registered with the United States Securities and Exchange Commission as a self-regulatory organization pursuant to the Securities Exchange Act of 1934.
3. At all times relevant hereto, the Respondent was registered with FINRA as a broker-dealer.
4. On or about March 9, 2015, FINRA entered a Letter of Acceptance, Waiver and Consent (AWC) submitted by Respondent and others regarding File No. 2013035307701, which sanctioned Respondent as follows:
 - a. Censure; and

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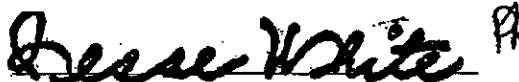
- b. A \$25,000 fine.
5. By signing the AWC, Respondent accepted FINRA's findings of fact.
 - a. Between September 2012 and October 2013, Martinez-Ayme Securities failed to enforce its written supervisory procedures related to financial controls and financial books and records.
 - b. These failures led to several inaccuracies in the firm's books and records, including the general ledger, trial balance, and balance sheet, as well as inaccurate net capital computations and FOCUS reports.
6. Respondent also acknowledged the following violations:
 - a. Sections 15(c) (prohibiting the use of manipulative or deceptive devices and the contravention of rules and regulations) and 17(a) (prohibiting fraud and misrepresentations in the offer or sale of securities) of the Exchange Act;
 - b. SEC Rules 15c3-1 (setting net capital requirements for brokers or dealers), 17a-3 (requiring records to be made and maintained by certain exchange members, brokers, and dealers), 17a-5 (requiring reports to be made by certain brokers and dealers), and 17a-11 (setting notification provisions for brokers and dealers);
 - c. NASD Rule 3010(b) (requiring adequate written procedures); and
 - d. FINRA Rules 4110 (requiring compliance with FINRA capital requirements), 4511 (requiring certain books and records); and 2010 (requiring high standards of commercial honor and just and equitable principles of trade).
7. On June 10, 2015, FINRA expelled Respondent, as of the close of business on that day, for failure to pay the fine in accordance with the AWC.
8. Section 8.E(2) of the Act provides, inter alia, that if the Secretary of State finds that any registrant or applicant for registration is no longer in existence or has ceased to do business as a dealer, the Secretary of State may by order cancel the registration or application.
9. The Department has determined that Respondent has ceased to do business as a dealer in the State of Illinois.
10. It is in the public interest to enter an Order which cancels the dealer registration of the Respondent in the State of Illinois.

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NOW, THEREFORE IT IS HEREBY ORDERED: The dealer registration of the Respondent, Martinez-Ayme Securities, in the State of Illinois is hereby cancelled.

ENTERED: This 21st day of August, 2015

A handwritten signature in black ink that reads "Jesse White". To the right of the signature is a small, stylized mark that appears to be "PH".

JESSE WHITE
Secretary of State
State of Illinois

Attorney for the Secretary of State:

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